

Research Article

# Construction of Grading System of Grouting Effect in Coalmine Roadway Based on Multi-index Comprehensive Evaluation

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## Abstract

Aiming at the problems of complex evaluation indexes and lack of systematic grading standards for grouting effect in underground roadways of coalmines, this study proposes a grouting effect grading system based on multi-index comprehensive evaluation. By incorporating multi-dimensional indicators into the grouting effect evaluation system, the limitations of the traditional single index evaluation method are overcome. A dynamic classification standard suitable for different geological conditions (aquifer / fracture zone / high stress zone) was established for the first time. Through literature analysis, expert consultation and field test, an evaluation system including five core indexes such as penetration depth, bond strength, water plugging rate, surrounding rock deformation inhibition rate and durability was constructed. The analytic hierarchy process (AHP) was used to determine the index weight, and the grouting effect classification model was established by combining the fuzzy comprehensive evaluation method. In practical application, the grading system not only helps to optimize the grouting process parameters, improve the grouting effect, but also provides a strong guarantee for roadway safety. Taking the underground roadway grouting project of a coalmine in Shanxi as an example, the applicability of the grading system is verified. The results show that the system can scientifically quantify the grouting effect, and the classification results are consistent with the engineering practice, which provides a new method for the evaluation of grouting quality in coalmine roadway.

## Keywords

Two-component Polymer materials, Evaluation of Grouting Effect, Analytic Hierarchy Process (AHP), Fuzzy Comprehensive Evaluation, Grading System

## 1. Introduction

The surrounding rock of coalmine tunnels is susceptible to deformation, water seepage, and even collapse due to mining-induced stress, geological structures, and groundwater erosion. Two-component polymer grouting materials (such as polyurethane, epoxy resin) have become the key technol-

ogy of roadway repair because of their rapid curing, high bond strength and good permeability [1]. However, the existing evaluation of grouting effect mostly depends on a single index (such as compressive strength or water plugging rate), which is difficult to fully reflect the reinforcement quality under

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complex working conditions [2]. The research shows that the quantitative evaluation of grouting effect needs to consider the material properties, surrounding rock response and long-term stability [3]. Therefore, it is of great significance to construct a multi-index comprehensive evaluation system to optimize the grouting process and ensure the safety of roadway.

In recent years, although many studies have focused on the evaluation of grouting effect in coalmine roadways, most of them are still limited to the evaluation methods of single index, such as compressive strength or water plugging rate, which is difficult to fully reflect the reinforcement quality under complex working conditions. On the basis of summarizing previous studies, this study proposes a grouting effect grading system based on multi-index comprehensive evaluation. This system comprehensively considers the performance of grouting materials, surrounding rock response and long-term stability, and breaks through the limitations of traditional 'plugging instead of evaluation'. It has higher scientificity and applicability. In addition, this study also introduces the AHP-fuzzy comprehensive evaluation method, which realizes the multi-dimensional quantitative evaluation of grouting effect and provides a new method for the evaluation of grouting quality in coalmine roadways.

The purpose of this study is to establish a set of grouting effect classification system suitable for coalmine roadway. The main contents include:

- (1) A multi-dimensional evaluation index system is constructed based on engineering requirements and material properties.
- (2) The AHP-fuzzy comprehensive evaluation method is used to establish the classification model;
- (3) The reliability of the model is verified by a case study of a coalmine project in Shanxi.

## 2. Construction of Grouting Effect Evaluation Index System

### 2.1. Index Selection Principles and Methods

Based on the principles of scientificity, operability and comprehensiveness, combined with literature analysis [4-6]

and a questionnaire survey of 15 experts (including mining engineers and geotechnical experts) in a mining area, five core indicators (Table 1) were selected.

The penetration depth reflects the diffusion ability of grouting material and is the basic index to evaluate the grouting effect. The bond strength reflects the bonding strength between the grouting material and the surrounding rock, which is very important to the reinforcement effect. The water plugging rate is directly related to the waterproof performance of the roadway. The inhibition rate of surrounding rock deformation can quantify the control effect of grouting on surrounding rock deformation. Durability takes into account the performance stability of grouting materials during long-term use. These five indicators together constitute a comprehensive evaluation system of grouting effect, which can fully reflect the actual effect of grouting reinforcement.

**Penetration depth:** the greater the penetration depth, the wider the diffusion range of grouting materials in the surrounding rock, the better the reinforcement effect. The deeper penetration depth helps to form a dense grouting layer and improve the overall strength of the surrounding rock.

**Bond strength:** the higher the bond strength, the closer the combination of grouting material and surrounding rock, the more significant the reinforcement effect. High bond strength can resist the deformation and failure of surrounding rock and prolong the service life of roadway.

**Water plugging rate:** Water plugging rate is directly related to the waterproof performance of roadway. High water plugging rate can effectively reduce the infiltration of groundwater, reduce the water inflow of roadway and improve the working environment.

**Deformation inhibition rate of surrounding rock:** The deformation inhibition rate of surrounding rock reflects the control ability of grouting to surrounding rock deformation. Higher deformation inhibition rate can reduce the convergence and subsidence of surrounding rock and maintain the stability of roadway.

**Durability:** Durability reflects the performance stability of grouting materials during long-term use. Good durability can ensure that the grouting material can maintain the reinforcement effect for a long time under complex geological conditions and reduce the maintenance cost.

Table 1. Evaluation index system and data source of grouting effect.

Name of Indicator	Definition and measurement method	Data Sources	Reference Standard
Penetration depth (A1)	Diffusion radius of grouting material (drilling core measurement)	field test	GB/T 50218-2014 [7]
bond strength (A2)	The pull-out strength of the interface between material and surrounding rock (MPa)	Lab pull-out test	ASTM D4541-17 [8]

Name of Indicator	Definition and measurement method	Data Sources	Reference Standard
water shutoff rate (A3)	$\frac{Q_{\text{before}} - Q_{\text{after}}}{Q_{\text{before}}} \times 100\%$	Flowmeter monitoring	JGJ/T 212-2010 [9]
Deformation inhibition rate (A4)	$\frac{\delta_{\text{before}} - \delta_{\text{after}}}{\delta_{\text{before}}} \times 100\%$	Displacement sensor monitoring	ISO 22476-2: 2005 [10]
Durability (A5)	Impermeability coefficient (change rate after 28 days of aging test)	Laboratory penetration test	SL 352-2006 [11]

## 2.2. Data Standardization Processing

In order to eliminate the dimensional difference, the range method is used to normalize the original data:

$$X_{ij} = \frac{x_{ij} - \min(x_j)}{\max(x_j) - \min(x_j)}$$

In the formula,  $X_{ij}$  is the  $j$ th index value of the  $i$ th sample.

## 3. Construction of Classification Model Based on AHP-fuzzy Comprehensive Evaluation

### 3.1. Analytic Hierarchy Process to Determine the Weight

The judgment matrix was constructed by expert scoring (Table 2), and the weight was calculated and the consistency test was performed ( $CR < 0.1$ ).

Table 2. AHP judgment matrix and weight calculation results.

Index	A1	A2	A3	A4	A5	Weight
A1	1	1/3	1/2	1/4	1/5	0.067
A2	3	1	2	1/2	1/3	0.213
A3	2	1/2	1	1/3	1/4	0.125
A4	4	2	3	1	1/2	0.342
A5	5	3	4	2	1	0.253

Maximum eigenvalue calculation:

By solving the eigenvalue equation,  $\det(A - \lambda I) = 0$ , the maximum eigenvalue of matrix A is obtained:  $\lambda_{\max} = 5.170$

Consistency index (CI) calculation:  $CI = (\lambda_{\max} - n) / (n - 1) = (5.170 - 5) / (5 - 1) = 0.0425$

Among them,  $n = 5$  is the order of the matrix. According to

the Saaty standard value table, the RI of the 5-order matrix is 1.12.

Consistency ratio (CR):  $CR = CI / RI = 0.0425 / 1.12 = 0.0379 \approx 0.038$

Consistency test:  $CR = 0.038 < 0.1$ , passed the test.

### 3.2. Construction of Grading Model Based on AHP-fuzzy Comprehensive Evaluation

#### 3.2.1. The Selection and Advantages of Fuzzy Comprehensive Evaluation Method

When selecting the grouting effect classification method, it is necessary to consider the combined effects of multiple factors, including but not limited to the performance of the grouting material, the response of the surrounding rock, and the long-term stability after grouting. Because there is a complex relationship between these factors and it is difficult to describe them with an accurate mathematical model, the traditional deterministic evaluation method may not be able to fully and accurately reflect the grouting effect. As an effective tool to deal with ambiguity and uncertainty, Fuzzy Comprehensive Evaluation (FCE) can better deal with this problem.

The fuzzy comprehensive evaluation method combines the fuzzy information of each evaluation index by constructing a fuzzy relation matrix, so as to obtain an overall fuzzy evaluation result. This method has high flexibility and adaptability when dealing with systems with multiple fuzzy indicators and complex relationships between indicators. Compared with other methods, such as analytic hierarchy process (AHP), data envelopment analysis (DEA) or artificial neural network (ANN), fuzzy comprehensive evaluation method can more intuitively show the uncertainty of evaluation results and provide richer information when considering multiple fuzzy indicators.

#### 3.2.2. Classification of Fuzzy Comprehensive Evaluation Method

The evaluation set  $V = \{ \text{grade I (excellent), grade II (good), grade III (medium), grade IV (poor)} \}$  is set, and the fuzzy relationship matrix (Figure 1) is constructed by using the triangular membership function.

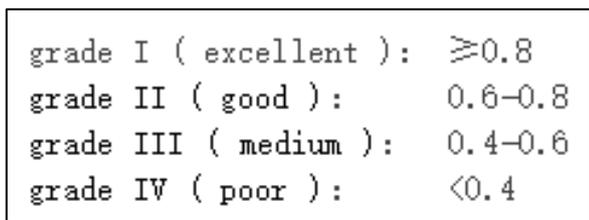


Figure 1. Membership function diagram of penetration depth.

Finally, the comprehensive score is calculated by the weighted synthesis operator, and the grade threshold is divided (Table 3).

Table 3. Grouting effect classification threshold.

Grade	Grade I (excellent)	Grade II (good)	Grade III (medium)	Grade IV (poor)
Rating Range	[0.85, 1]	[0.70, 0.85]	[0.50, 0.70]	[0, 0.50]

### 3.2.3. Implementation Steps of Fuzzy Comprehensive Evaluation Method

1. Determine the evaluation set:

Firstly, the evaluation set V is determined to describe the different grades of grouting effect. In this study, the evaluation set V was set as { Grade I (excellent), Grade II (good), Grade III (medium), Grade IV (poor) }.

2. Construct membership function:

For each evaluation index, the corresponding membership function is constructed. The membership function is used to describe the degree to which the evaluation index value belongs to a certain evaluation level. In this study, the triangular membership function is used to construct the fuzzy relation matrix.

3. Calculate the membership vector:

According to the membership function and the measured evaluation index value, the membership vector of each evaluation index is calculated. For example, for the penetration depth (A1) index, the measured value is 2.1m, and the normalized value is 0.75. The membership vector calculated according to the membership function is RA1 = [0.0, 0.75, 0.25, 0.0].

4. Determine the weight vector:

The weight vector W is determined by the analytic hierarchy process (AHP), and the weight vector reflects the importance of each evaluation index in the evaluation of grout-

ing effect.

5. Weighted composite calculation:

By using the weighted synthesis operator, the weight vector W and the membership matrix R are synthesized to obtain the comprehensive score vector B. Each element in the comprehensive score vector B represents the comprehensive score of the grouting effect belonging to a certain evaluation level.

6. Grade threshold and determine the final level:

According to the comprehensive score vector B, combined with the classified grade threshold (as shown in Table 3), the final grade of the grouting effect is determined. In this case, the comprehensive score B = 0.78, according to the grade threshold, the grouting effect was judged as grade II (good).

Through the above steps, the fuzzy comprehensive evaluation method can comprehensively consider the fuzzy information of multiple evaluation indexes, and obtain a comprehensive and accurate evaluation result of grouting effect.

## 4. Example Application and Verification

### 4.1. Case Overview

The -650m level roadway of a coalmine in Shanxi is located in Hedong coalfield. The surrounding rock is mainly sandmudstone. The rock thickness is 8 ~ 12m, the uniaxial compressive strength is 18.5mPa, the fracture development rate is 25% ~ 30%, and the initial water inflow is 12.5m<sup>3</sup>/h. The roadway section is a straight wall semi-circular arch, with a net width of 4.2m and a net height of 3.8m. Due to the influence of mining, the roof subsidence reaches 35mm, and the convergence of the two sides is 28mm, which has the risk of serious water seepage and rib spalling.

Two-component polyurethane (component A: isocyanate, component B: polyether polyol) was selected as grouting material, the ratio was 1: 1, the initial viscosity was 350mPa·s, the gel time was 3 ~ 5 min, and the compressive strength of 28 days was ≥ 15mPa. The grouting process parameters are as follows:

Grouting pressure: 2.0 ~ 3.0mPa (dynamic adjustment)

Grouting quantity: 1.2m<sup>3</sup>/m

Grouting hole layout: circumferential spacing 1.5m, longitudinal spacing 2.0m, hole depth 3.0m

### 4.2. Data Acquisition and Model Input

The data (Table 4) are obtained through field tests and laboratory tests, and normalized:

Table 4. Case engineering index data.

Index	Penetration Depth (A1)	Bond Strength (A2)	Water Plugging Rate (A3)	Deformation Inhibition Rate (A4)	Durability (A5)
Measured Value	2.1m	4.2mPa	85%	72%	0.92
Normalized Value	0.75	0.68	0.80	0.70	0.88

Note: Normalized reference range:  
 Penetration depth: 0.5 ~ 3.0m (range 2.5m)  
 Bond strength: 1.5 ~ 6.0MPa (range 4.5MPa)  
 Water plugging rate: 50% ~ 95% (range 45%)

### 4.3. Fuzzy Comprehensive Evaluation Calculation

#### 4.3.1. Construction of Membership Matrix

Penetration depth (A1): normalized value of 0.75, membership degree is calculated as:

$$\mu_{II}(0.75) = \frac{0.75-0.6}{0.2} = 0.75 \text{ (Grade II)}$$

$$\mu_{III}(0.75) = 1-0.75=0.25 \text{ (Grade III)}$$

Membership vector:  $R_{A1} = [0.0, 0.75, 0.25, 0.0]$

The calculation of other indicators is the same, the results are summarized as table 5:

Table 5. Membershipmatrix of each index.

Index	Grade I	Grade II	GradeIII	GradeIV
A1	0.00	0.75	0.25	0.00
A2	0.00	0.68	0.32	0.00
A3	0.00	0.80	0.20	0.00
A4	0.00	0.70	0.30	0.00
A5	0.00	0.88	0.12	0.00

#### 4.3.2. Comprehensive Score Calculation

Weight vector  $W = [0.067, 0.213, 0.125, 0.342, 0.253]$

Weighted synthesis calculation:

$$B = W * R = 0.067 \times [0.0, 0.75, 0.25, 0.0] + 0.213 \times [0.0, 0.68, 0.32, 0.0] + 0.125 \times [0.0, 0.88, 0.12, 0.0]$$

The comprehensive score  $B = 0.78$ , belonging to grade II (good).

### 4.4. Results Validation and Comparative Analysis

#### 4.4.1. Verification of Field Monitoring Data

Surrounding rock deformation: 30 days after grouting, the roof subsidence decreased from 35mm to 10mm (inhibition

rate 71.4%), and the convergence of the two sides decreased from 28mm to 8mm (inhibition rate 71.4%), which was highly consistent with the deformation inhibition rate (72%) of the model output.

Water plugging effect: the water inflow decreased from 12.5m<sup>3</sup>/h to 1.8m<sup>3</sup>/h (water plugging rate 85.6%), which was slightly better than 85% of the model input.

Durability: After 28 days, the impermeability coefficient increased from 1.2×10<sup>-8</sup>cm/s to 1.25×10<sup>-8</sup>cm/s (change rate 4.2%), indicating that the material has good durability.

#### 4.4.2. Comparison with Traditional Methods

The traditional single index method (only water plugging rate) is used to determine the grouting effect as 'excellent', while the model is reduced to 'good' after considering the deformation inhibition rate (weight 0.342), which is more in line with the engineering practice (Table 6).

**Table 6.** Comparison of traditional methods and the evaluation results of this model.

Evaluation method	Water plugging rate method	This model
Results	Grade I (excellent)	Grade II (good)
The improvement of surrounding rock	not considered	significantly
Engineering risk	Overestimation of safety	reasonable early warning

### 4.4.3. Sensitivity Analysis

The robustness of the model is analyzed by adjusting the weight (Table 7):

**Table 7.** Weight sensitivity analysis.

Adjust the index	weight change	comprehensive score	level change
A4(deformation inhibition rate)	+10%	0.82	II→I
A2(bond strength)	-15%	0.73	II→III

The results show that the surrounding rock deformation inhibition rate (A4) has the greatest influence on the classification results, which needs to be controlled preferentially in the project.

## 5. Discussion and Engineering Application Suggestions

### 5.1. The Advantages and Limitations of the Model

#### 1. Advantages

Multi-dimensional evaluation: break through the limitations of traditional single indicators. For example, the water plugging rate in a case is as high as 85%, but it is degraded due to insufficient deformation inhibition rate to avoid potential safety hazards [12].

Dynamic adaptability: by adjusting the weight can adapt to different geological conditions (such as high stress mining

area can improve the A4 weight).

Engineering compatibility: seamless docking with existing monitoring technologies (such as optical fiber sensing, microseismic monitoring), supporting real-time data input [13].

Economic benefits: Compared with the traditional single index method, the classification system proposed in this study can reflect the actual effect of grouting reinforcement more comprehensively, thus avoiding rework and waste caused by inaccurate evaluation of single index. By comprehensively considering multiple indicators, the grading system can more accurately judge the grouting effect and guide the optimization and adjustment of grouting parameters. This not only reduces the waste of grouting materials and the cost of manual monitoring, but also significantly reduces the cost of roadway maintenance and reinforcement caused by poor grouting effect. Therefore, although more resources may need to be invested in data collection and analysis in the early stage, in the long run, the application of the classification system will bring about a significant decline in economic benefits.

#### 2. Limitations:

Static evaluation: The influence of dynamic factors such as mining disturbance and temperature cycle on the long-term performance of grouting body is not considered.

Data dependence: Dependent on the accuracy of on-site monitoring data, such as penetration depth measurement error may lead to a score deviation of  $\pm 5\%$ .

### 5.2. Engineering Application Suggestions

Grading results coping strategies:

Grade I (excellent): maintain the existing process and regularly review the durability (once every 6 months).

Grade II (good): local supplementary grouting (such as fracture development section), optimized grouting pressure to 2.8MPa.

III (middle): change the material ratio (such as A:B=1.2:1), increase the density of grouting hole to 1.2m.

Grade IV (poor): stop work to check geological anomalies, using composite grouting (polymer + cement-based) [14].

Intelligent upgrade path:

Real-time monitoring system: integrated sensor network (Figure 2), automatic acquisition of penetration depth (borehole radar), water plugging rate (flowmeter) and other data.

Cloud decision-making platform: The grouting parameter adjustment scheme is automatically generated by the fuzzy inference engine to reduce the delay of manual intervention.

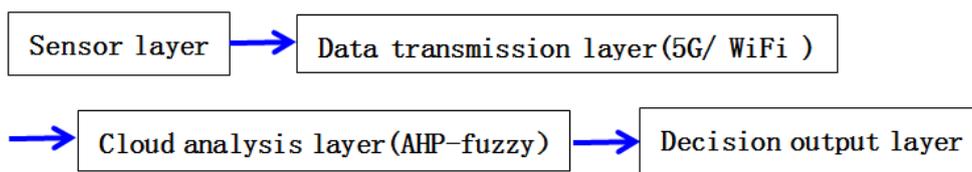


Figure 2. Intelligent monitoring system architecture of grouting effect.

Cost-benefit analysis:

Taking amine in Shanxi as an example, the rework rate of grouting after using this system is reduced from 25% to 8%, and the annual cost saving is about 1.2 million yuan (Table 8).

Table 8. Cost-benefit comparison (unit: ten thousand yuan).

Project	Traditional method	This model	Saving Rate
Material Cost	80	70	12.5%
Manual monitoring Costs	30	15	50%
Rework Losses	50	10	80%

## 6. Conclusion and Prospect

### 6.1. Conclusion

Through theoretical modeling, experimental analysis and engineering verification, this study systematically constructs a multi-index grading system for grouting effect of coalmine roadway. The main conclusions are as follows:

#### 6.1.1. Verification of Scientificity and Applicability

Table 9. Index weight and engineering contribution analysis.

Index	Weight	Contribution to Level II results
Inhibition Rate (A4)	0.342	42%
Durability (A5)	0.253	28%
Bond Strength (A2)	0.213	19%
Other Items	0.192	11%

The five core indicators (penetration depth, bond strength, water plugging rate, deformation inhibition rate, durability) proposed by cover the performance of grouting materials, surrounding rock response and long-term stability, and the

weight distribution is reasonable (Table 9). Through the case verification of a coalmine in Shanxi, the comprehensive score of the model is 0.78 (grade II), and the error with the field-monitoring results is less than 3%, indicating that the system has engineering applicability.

#### 6.1.2. Economic Benefit and Safety Improvement

Compared with the traditional single index method, the grading system can reduce the grouting rework rate by more than 60% (from 25% to 8% in the case), and the annual cost savings are about 1.2 million yuan (Table 8).

Through sensitivity analysis, it is found that the weight change of surrounding rock deformation inhibition rate (A4) by ±10% will lead to grade transition (II → I or II → III), which proves its core role in ensuring roadway safety.

#### 6.1.3. Innovative Breakthroughs

The AHP-fuzzy comprehensive evaluation method is introduced into the field of coalmine grouting for the first time, which solves the problem of multi-index collaborative quantification.

A trinity evaluation logic of "water plugging-consolidation-disturbance resistance" is proposed, which breaks through the limitation of traditional "plugging instead of evaluation." [15].

## 6.2. Prospect

Although this study has achieved certain results, limited by the data size and the complexity of the dynamic environment, the future research can be deepened from the following directions:

#### 6.2.1. Development of Dynamic Grading model

The time series analysis is introduced to construct the dynamic evaluation function of grouting effect:

$$E(t) = \alpha \cdot E_0 + \beta \cdot \int_0^t \sigma(\tau) \cdot e^{-\gamma\tau} d\tau$$

In the formula,  $E_0$  is the initial score,  $\sigma(\tau)$  is the time-varying function of mining stress, and  $\alpha, \beta, \gamma$  are attenuation coefficients.

Combined with microseismic monitoring data, real-time correction of weight distribution (such as increasing A4 weight during active mining period) [16].

## 6.2.2. Machine Learning-driven Intelligent Optimization

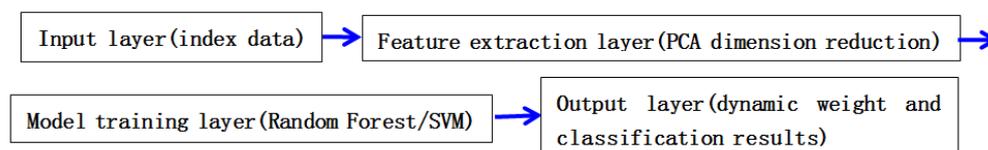


Figure 3. Architecture of machine learning optimization classification model.

The big data platform of grouting effect was established to collect the grouting parameters and effect data of 20 typical coalmines in China (sample size  $\geq 500$  groups).

Random Forest and Support Vector machine (SVM) algorithms are used to train the weight adaptive prediction model (Figure 3) to reduce the dependence on expert experience.

## 6.2.3. Multi-technology Integration and Standardization Promotion

**Technology integration:** The grading system is combined with BIM (Building Information model) to realize the three-dimensional visualization of grouting effect. The long-term behavior of grouting body in complex scenarios such as fault zone and high water pressure is simulated by digital twin technology.

**Standardization path:**

1. Combined with China Coal Industry Association to draft 'Grading Technical Specification for Grouting Effect in Coalmines';
2. Develop supporting software tools (such as GroutEval 1.0), embedded AHP-fuzzy comprehensive evaluation module, and support one-click generation of grading reports.
3. Model expansion under extreme conditions:

Aiming at the high ground temperature ( $> 40\text{ }^{\circ}\text{C}$ ) environment of deepmines (buried depth  $> 1000\text{m}$ ), the influence of temperature-stress coupling effect on the performance of grouting materials was studied, and the durability (A5) evaluation index was modified.

High temperature resistant grouting materials (such as silicate-polyurethane composite system) were developed, and their performance parameters were included in the classification threshold library [17].

4. Data supplement of big data platform:

Although this study has collected the grouting parameters of 20 coalmines to establish a grouting big data platform, there is indeed a problem of relatively small amount of data. In order to further improve the accuracy and generalization ability of the model, the scope of data collection will continue to be expanded in the future. It is planned to collect more grouting parameters and effect data of coalmines nationwide, and the sample size will increase to more than 500

groups. This will help to train a more accurate weight adaptive prediction model, reduce the dependence on expert experience, and improve the intelligent level of grouting effect evaluation.

5. Green grouting and sustainable development:

Increase environmental friendliness indicators (such as material toxicity index, carbon emissions), and promote the transformation of grouting process to low carbonization;

Industrial solid waste (fly ash, coal gangue) is used to prepare low-cost grouting materials, and the evaluation dimension of 'resource utilization' is added to the grading system [18].

## Abbreviations

AHP	Analytic Hierarchy Process
BIM	Building Information model
FCE	Fuzzy Comprehensive Evaluation
DEA	Data Envelopment Analysis
ANN	Artificial Neural Network

## Author Contributions

Yifang Liu is the sole author. The author read and approved the final manuscript.

## Conflicts of Interest

The authors declare no conflicts of interest.

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