

# Effectiveness of Two Exercise Training Programs in Patients with Chronic Obstructive Pulmonary Disease

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**Abstract:** This study intended to assess the effectiveness ten years later, after attending to a combined or aerobic exercise training program, in Chronic Obstructive Pulmonary Disease (COPD) patients. Methods: Twenty moderate COPD men, were randomized into two groups: ten patients (age-66.5±6.2 years) to a combined exercise training program (CETG), and ten (age-65.4±3.6 years) to an aerobic program (AETG), for 10W, 3xW. Outcome variables included cardiopulmonary function (cardiopulmonary exercise test (CPET) and 6-min-walk-distance (6MWD)), muscular strength (1-RM); and quality of life (HRQL) with SF-36 and SGRQ. Ten years later, both groups were compared with ten patients who weren't submitted to exercise programs (CG), evaluating health service recurrence (HSR) and respiratory mortality. Results: Both exercise groups increased ( $p < .05$ ) functional capacity ( $VO_{2peak}$ : CETG-25±18%, AETG-26±25%); CPET time/power (CETG-42±30%, AETG-65±47%), 6MWD (CETG, 12±3%; AETG, 7±4%) and HRQL immediately after exercise, with greater benefits for the CETG ( $p < .05$ ) in all variables. Ten years later, there were no differences between exercise groups on mortality and HSR. Between exercise groups and CG there were only significant differences on HSR. Conclusions: Combined exercise was more effective than aerobic with greater improvement in muscular strength, functional capacity and HRQL. Participation in exercise programs seems to reduce HSR at long-term follow-up.

**Keywords:** COPD, Aerobic Exercise, Strength Exercise, Health Status, Health Service Recurrence

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## 1. Introduction

Chronic Obstructive Pulmonary Disease (COPD) is a major cause of chronic morbidity and mortality worldwide with important clinical consequences, such as a decline of health status and quality of life [1-3].

Exercise training is recognized as a fundamental component of respiratory rehabilitation because of its positive effects on muscle function [2, 4]. Literature shows that aerobic exercise improves exercise performance and decreases dyspnea perception. Aerobic exercise enhances muscle oxidative capacity with muscular function improvement but it has small effect in muscle atrophy and

weakness [5].

Resistance training improves muscle strength, endurance, when assessed by the six-minute walk distance (6MWD), and perceived dyspnea in COPD patients. However, it appears to have little effect on  $VO_{2max}$ , [3, 6, 7]. Evidence suggests that combined exercise (resistance/aerobic) training (CET) provides similar endurance benefits and greater improvements in muscle strength compared to aerobic training alone (AET) [8-9]. Although, for quality of life, studies have shown improvement with resistance training [6], the effects are different between CET and AET, with better results for Health-related Quality of life (HRQL) and dyspnea perception in CET [10].

Almost all studies on CET in COPD patients used short term training programs (six to twelve weeks) with a mild to high training intensity [11, 12]. In Portugal, respiratory rehabilitation usually doesn't include exercise training and treatment of COPD is based in drug therapy and respiratory physiotherapy. Also, most of the hospitals are not prepared to perform exercise in their facilities. Therefore, the first purpose of this study was to assess the effectiveness of a CET program compared to an AET program in outpatients with COPD, using a short term training period with high training intensity, on functional capacity, HRQL, and also, to demonstrate its feasibility outside hospital environment. The second purpose was to compare, ten years later, mortality and hospital recurrence of patients who performed exercise programs with those who were only submitted to medical treatment.

## 2. Material and Method

Twenty adult male with moderate COPD [13] were randomly assigned into two groups: ten patients (age, 66.5±6.2 years; BMI, 27.7±4.0 kg/m<sup>2</sup>) to CET Group (CETG) and ten (age, 65.4±3.6 years; BMI, 25.3±3.9 kg/m<sup>2</sup>) to AET Group (AETG). Both groups trained for 10 weeks, 3 times a week, forty to sixty minutes per session. Subjects' baseline characteristics are shown in Table 1. Training was performed at the municipal sports complex under supervision of physiotherapists and physicians from Garcia de Orta Hospital (GOH). A control group (CG) of ten men with moderate COPD who had criteria to be included in the index exercise program (age, 62.2±6.2 years; BMI, 24.8±5.3 kg/m<sup>2</sup>; FEV<sub>1</sub>, 57.8±10.1% predicted) was included in the ten years' follow-up evaluation. Despite these men met the inclusion criteria to integrate exercise programs, for personal reasons, they weren't able to participate, and during this period they were treated medically.

To be eligible, subjects needed to accomplish the inclusion criteria as previously described in detail elsewhere [14]. Patients were recruited by checking patient's files from the pulmonary department of GOH, and no changes were made on patient's medical therapy program, along training program.

The study was approved by the GOH Ethics Committee and University Scientific Institutional Review Committee and all subjects provided a written informed consent prior participation.

**Table 1.** Subjects characteristics referring mean value and standard deviation, obstruction degree, height, weight and years of disease; also percent values of professional situation

	Combined Group (CETG) (n=10)	Aerobic Group (AETG) (n=10)
Age	66.5±6.2	65.4±3.6
FEV <sub>1</sub> (% predicted)	54.9±9.9	56.5±9.3
SaO <sub>2</sub> at rest (%)	94.1±2.3	96.3±2.1
BMI (kg/m <sup>2</sup> )	27.7±4.0	25.3±3.9
Years of disease	7.60±9.5	7.1±9.34
Professional situation		
Retired	87.5%	87.5%
Unemployed	12.5%	12.5%

SaO<sub>2</sub>: oxygen saturation; BMI: body mass index; FEV<sub>1</sub>: forced expired volume in first second.

All patients admitted to exercise groups performed a cardiopulmonary exercise test (CPET), before and after training period, on a cycle ergometer, using the standard hospital protocol (3 minutes of unloaded pedaling, incremental workloads of 10 watts each minute, until fatigue, followed by 3 minutes of rest). CPET was interrupted when subjects reported to be too exhausted or breathless. VO<sub>2peak</sub>, ergometer power, VCO<sub>2</sub>, VE, respiratory equivalent, respiratory rate, oxygen saturation (SaO<sub>2</sub>), heart rate (HR), blood pressure (BP) were determined, and the perceived exertion was recorded using Borg's scale. The HR<sub>peak</sub>, measured at VO<sub>2peak</sub>, was the main parameter in establishing training intensity for AET.

A 6MWD was performed and HR, SaO<sub>2</sub> and Borg symptom ratings were recorded at rest and immediately after walking cessation [15].

The evaluation protocols used in muscle strength, HRQL and exercise training have been previously described in detail elsewhere [14].

In the ten-year evaluation, data from all patients were gathered from the hospital files and completed by phone interview if there was no information within the last six months', prior the evaluation date. The collected data, included the number of hospitalizations or recurrence to emergency department, owed to respiratory exacerbation, and mortality of respiratory cause.

## 3. Statistical Analysis

Baseline characteristics values are reported as mean values±SD. All variables had normal distribution. Comparison among and between groups were performed by Student *t*-tests. Analysis of variance (ANOVA) of repeated measures were used to investigate the effect of the training program (group) and time (pre-post). When significant interaction was observed, *t*-tests were used to determine where interaction occurred. Modification rates were calculated using the equation ((value after-value before)/value before)\*100. Clinically significant differences were defined as -4% for each Saint George's Respiratory Questionnaire (SGRQ) [16], and +10 points for the SF-36 profiles [17-19]. The level of significance was set at α=0.05. SPSS 26 program was used for statistical analysis.

## 4. Results

All results reported for the training exercise groups are based on 15 subjects who completed all tests and training requirements; 5 patients dropped out of the study, 2 from AETG and 3 from CETG for professional reasons, being posteriorly integrated in control group. There were no differences between groups in demographic characteristics as age, height, weight, FEV<sub>1</sub>, years of disease, or professional activity (Table 1).

**Table 2.** P-values from mixed model repeated measures ANOVA: testing the effect of training program, time and their interaction on functional capacity, muscle strength, and quality of life.

Variable	Training program <sup>a</sup>	Time <sup>b</sup>	Interaction <sup>c</sup>
Functional capacity			
VO <sub>2peak</sub> (mL.kg <sup>-1</sup> .min <sup>-1</sup> )	ns	0.000*	ns
VO <sub>2 peak</sub> (L.min <sup>-1</sup> )	ns	0.000*	ns
VCO <sub>2 peak</sub> (L.min <sup>-1</sup> )	ns	0.018*	ns
VE <sub> peak</sub> (L.min <sup>-1</sup> )	ns	ns	ns
QR <sub> peak</sub> (L.min <sup>-1</sup> )	ns	ns	ns
O <sub>2 pulse</sub> <sub>peak</sub>	ns	0.000*	ns
VE/VO <sub>2 peak o</sub>	ns	ns	0.028*
SaO <sub>2 peak</sub> (%)	0.033*	ns	ns
HR <sub>peak</sub> b.min <sup>-1</sup>	ns	ns	ns
HR <sub>rest</sub> b.min <sup>-1</sup>	ns	0.000*	ns
FEV <sub>1</sub> (% predicted)	ns	0.007*	0.046*
Exercise duration (min)	ns	0.000*	ns
W <sub>max</sub> (w)	ns	0.000*	ns
6MWD (m)	ns	0.000*	0.010*
Muscle strength			
Arm Curl	0.000*	0.000*	ns
Pectoralis	0.004*	0.000*	0.006*
Leg extension	0.001*	0.000*	0.001*
Leg press	0.000*	0.003*	0.000*
Vertical traction	0.006*	0.000*	0.002*
SGRQ			
Symptoms	ns	0.000*	ns
Activity	ns	0.000*	0.000*
Impact	ns	0.000*	ns
Total	ns	0.000*	ns
SF-36			
Physical function	ns	0.000*	0.007*
Role physical	ns	0.000*	ns
Bodily pain	ns	0.001*	ns
General health	ns	0.000*	ns
Mental health	ns	0.000*	ns
Role emotional	ns	0.000*	ns
Social function	ns	0.000*	ns
Vitality	0.034*	0.028*	ns
Health modification	0.002*	0.000*	ns

\* p < 0.05; <sup>a</sup> test of between subjects' effects; <sup>b</sup> test of within subjects' effects; <sup>c</sup> test of interaction between the independent factor and the repeated measures.

There were no interactions with the other cardiorespiratory variables. The main effects of training (pre-post) were in VO<sub>2peak</sub>, O<sub>2 pulse</sub><sub>peak</sub>, HR<sub>rest</sub>, exercise duration and W<sub>max</sub>, (p<0.05) (Table 2).

Significant interactions were observed for all strength variables except arm curls (Tables 2 and 4). The *t*-test demonstrated significant differences between groups for these variables with greater improvements shown for CETG.

When analysing HRQL using SGRQ, significant interaction was observed only for the activity dimension, where CETG improved more than AETG, although both

ANOVA (Table 2) revealed significant interactions (p<0.05) between group and time (pre-post training) for FEV<sub>1</sub>, VE/VO<sub>2peak</sub> and 6MWD. There were group differences for VE/VO<sub>2peak</sub>, where CETG decreased from 31.2±9.5 to 25.9±7.5. For 6MWD CETG increased from 480.72±47.3 to 538.8±54.6 vs an increase from 536.4±42.8 to 571.7±42.3 for AETG. FEV<sub>1</sub> changed in CETG (55.2±9.9 to 60.2±8.4) but not in AETG (55.2±9.1 to 56.1±8.4) (Table 3).

groups showed significant improvements. Similarly, data from SF-36 showed a significant interaction in the physical function dimension with greater improvement for CETG. There were main effects for time (pre-post) for all the variables (p<0.05) and for vitality and health status there was also a main effect on CETG (Table 2).

At ten years' follow-up, significant differences (p<0.01) were observed due to health care recurrence for pulmonary reasons, between patients included in exercise programs and those who were not. Patients who integrated the CETG had nine documented appointments to hospital emergency (HE).

From those, four were admitted with a total of 37 admission days; for the AETG patients were documented 12 visits to HE, seven admissions with a total of 46 admission days; for the control group were documented 112 visits to HE and 74

admissions with a total of 567 admission days. A total of seven deaths were observed, one on the CETG, three on the AETG and three on the control group, only the death on CETG was caused by respiratory pathology.

**Table 3.** Mean and standard deviation values for basal and final values of functional cardiorespiratory parameters, in combined and in aerobic groups.

	Combined Group			Aerobic Group		
	Before	After	p*	Before	After	p*
VO <sub>2peak</sub> (mL.kg <sup>-1</sup> .min <sup>-1</sup> )	13.6±4.6	16.5±4.3*	0.002	15.8±5.4	18.5±5.0*	0.022
VO <sub>2 peak</sub> (L.min <sup>-1</sup> )	1.1±0.39	1.3±0.4*	0.001	1±0.2	1.2±0.1*	0.025
VCO <sub>2 peak</sub> (L.min <sup>-1</sup> )	1.00±0.38	1.13±0.43	ns	0.98±0.24	1.18±0.15*	0.040
VE <sub>peak</sub> (L.min <sup>-1</sup> )	33.3±8.3	33.4±9.2	ns	30.5±8.8	36.8±5.4	ns
QR <sub>peak</sub> (L.min <sup>-1</sup> )	0.86±0.05	0.87±0.05	ns	0.94±0.11	0.91±0.04	ns
O <sub>2</sub> pulse <sub>peak</sub>	9.7±3.6	12.1±3.6*	0.000	9.5±1.3	11.5±1.3*	0.006
VE/VO <sub>2 peak o</sub>	31.2±9.4	25.9±7.5* †	0.020	29.2±6.2	28.6±5.2	ns
SaO <sub>2 peak</sub> (%)	91.1±2.6	92±2.6	ns	95.2±1.7	94.2±3.9	ns
RR <sub>peak</sub> (c.min <sup>-1</sup> )	29.38±6.8	26.50±5.6	ns	28,14±5.4	29,6±4.9	ns
HR <sub>peak</sub> (b.min <sup>-1</sup> )	116.8±14.5	110.7±11.0	ns	108,8±17,8	112.2±19.5	ns
HR <sub>reste</sub> (b.min <sup>-1</sup> )	67.8±4.8	64.8±4.6*	0.000	62.8±7.9	60.2±9.0*	0.004
FEV <sub>1</sub> (% predicted)	55.2±9.9	60.2±8.4*	0.020	55.2±9.1	56.1±8.4	ns
Exercise duration (min)	6.8±2.1	9.2±2.0*	0.000	6.7±1.9	8.4±1.7*	0.003
W <sub>max</sub> (w)	50.7±24.7	82.3±25.2*	0.000	52.8±16.0	70.1±14.6*	0.004
6MWD (m)	480.7±47.3	538.8±45.6 * †	0.000	536.4±42.8	571.7±42.3*	0.002

VO<sub>2peak</sub>: maximal oxygen uptake; VCO<sub>2peak</sub>: carbon dioxide production; VE<sub>peak</sub>: minute ventilation; QR<sub>peak</sub>: respiratory quotient; Pulse O<sub>2peak</sub>: oxygen pulse; VE/VO<sub>2peak</sub>, VE/VCO<sub>2peak</sub>: ventilatory equivalents for oxygen uptake and carbon dioxide production; SaO<sub>2</sub>: oxygen saturation peak; HR<sub>rest</sub> b.min<sup>-1</sup> HR<sub>peak</sub> b.min<sup>-1</sup> heart rate at basal and peak stages, FEV<sub>1</sub>: forced expired volume in first second; W<sub>max</sub>: maximal work rate; 6MWD: 6-min walk distance.

\* Intra-group modifications p<0.05; † Modifications between groups p<0.05.

**Table 4.** Mean and standard deviation values for basal, final values and modifications rates from muscular function relatively to muscular groups used in muscular dynamic strength exercises; Arm curl, Pectoralis, Leg extension, Leg press and vertical traction, at the combined group (CETG) and the aerobic group (AETG).

	Combined Group			Aerobic Group				
	Before	After	Δ%	p*	Before	After	Δ%	p*
Arm Curl	37.7±4.8**	45±4.2* †	20.4±14.4	0.001	26.7±3.7	29.8±4.8*	12.0±10.3	0.021
Bench press	28.1±4.5**	38.2±5.3* †	37.3±15.8	0.011	22.2±4.6	26.7±5.6*	20.3±14.3	0.007
Leg extension	32.5±2.9**	47.7±4.6 * †	47.8±17.4	0.000	27.5±4.1	34.4±6.4*	24.6±12.0	0.002
Leg flexion	123.7±11.2**	169.3±18.0* †	37.4±13.8	0.000	94.0±9.2	115.0±15.5*	21.9±5.3	0.018
Lat pulldown	44.25±6.4**	51,0±7.7 * †	15.2±5.8	0.000	37.5±3.8	39.1±3.1	4.5±5.4	ns

Δ%: Modification rate; \* Modifications in group p<0.05; † Modifications between groups p<0.05; \*\* Initial differences between groups for initials values.

## 5. Discussion

The present study showed that exercise has beneficial effects on functional capacity in subjects with moderate COPD. We observed increase in aerobic capacity, shown by the increase in VO<sub>2peak</sub>, and in functional performance, shown by better performance in 6MWD, and in CPET. These findings were similar to those previously reported [3, 7, 12, 20-27]. Cooper [28] showed an increase in VO<sub>2peak</sub> of 20% after AET program. Sawyer *et al.* [10] also showed an increase in VO<sub>2peak</sub> and 12 MWD after training. An increase in VO<sub>2peak</sub> can result in a decrease of ventilatory needs at submaximal effort levels, which may lead to an increase in exercise tolerance [29].

As an index of ventilatory efficiency, the VE/VO<sub>2</sub> tends to have lower values in trained subjects, due to a better use of O<sub>2</sub> [30], which may explain the lower values found in the CETG. We also observed a decrease in the ventilatory equivalent for CO<sub>2</sub> (VE/VCO<sub>2</sub>) in the CETG, probably due to a decrease in breathing rate, which can indicate an

improvement in alveolar ventilation.

Both exercise training programs significantly improved fatigue resistance and endurance, similar to previous studies [7, 12, 23, 24, 31]. Despite the lesser duration in performing AET it appears that CET provides a greater training stimulus for endurance improvement. We can relate this result with the fact the CETG patients have performed longer distances in the 6MWD. This may also have been related to changes in FEV<sub>1</sub> which was a surprising finding, since FEV<sub>1</sub> had not always been altered in other studies [23, 32].

There was a 10% improvement in predicted FEV<sub>1</sub> associated with an increase of 11% in FVC in the CETG, suggesting that CET provides a beneficial effect on pulmonary function which is not evident in AET. Our findings are consistent with Hoff *et al.* [33] who found a significant increase in FEV<sub>1</sub> following eight weeks of high intensity resistance leg training in COPD patients. This improvement can be related to abdominal muscle function improvement as a result of resistance exercise [34]. Considering that FEV<sub>1</sub> is a

strong clinical index of both pulmonary function and COPD disease severity, it appears that CET provides a substantial clinical benefit not evident in AET.

Improvements in maximal muscular strength demonstrate that combined program enhanced muscle function, consistent with results found in previous studies [3, 7, 12, 24, 27, 31]. For CETG, muscle strength had an increased range from 15.2% for lat pulldown to 47.8% for leg extension. For AETG, the increased muscle strength range was between 4.5% for lat pulldown and 24.6% for leg extension. Similar findings have been reported by Ortega et al. [7]. Even though muscle strength increased more in the CETG, this didn't translate into greater improvement in cardiorespiratory fitness. However, the significantly greater increase in 6MWD for CETG may suggest that there was a greater increase in functional capacity for this kind of training.

Our results showed that health status perception improved for all subjects, which probably reflects beneficial impact of exercise on health status for COPD patients [3, 7, 20-24, 32, 34]. However, physical function improved more in CETG, when assessed by both HRQL questionnaires, which suggests that perceived physical function is probably related to improvement in muscle function, as suggested by others [12]. Thus, adding muscle strength to a clinical rehabilitation program for COPD patients, appear to be clinically useful.

Interestingly, there was no correlation between 6MWD test and health status perception. This finding was similar to that reported by Limsuwat et al. [15], who suggest that SF-36 and 6MWD measure different types of health status. Also Wijkstra et al. [20] suggest that an improvement in exercise tolerance may not be associated with an improvement in HRQL, since this subjective issue may not be influenced by exercise tolerance.

The observational evaluation made ten years after the index exercise program showed a great reduction in hospital readmission for those who participated in the program compared with those who were not included. Although, we couldn't find data supporting these results in literature, a systematic review by Puhan et al. [35] suggests that respiratory physiotherapy is effective in COPD patients after acute exacerbation, in reducing readmissions and consequently associated costs. An economic analysis was not conducted, but it seems that health care costs were reduced, as the admission days were less for patients who performed the exercise programs.

The main limitation of this study was the small number of participants, which influences the generalization of our findings. Another limitation was the lack of a control group from the beginning which was attenuated by its inclusion on the observational comparison at ten years.

## 6. Conclusion

In conclusion, CET appear to be more effective for COPD patients than AET. There were no clinical adverse events during training, which allow us to conclude that performing supervised exercise outside hospital environment is safe for these patients. Also, participation in exercise programs seems to have a positive impact in disease burden and costs, by

decreasing long-term health resources recurrence. Resistance exercise training should be considered as a standard part of exercise rehabilitation programs for this population.

Further studies, with larger sample size and cost-effectiveness analysis are needed to support the inclusion of exercise programs in management of COPD patients.

## Conflict of Interest

The authors declare that they have no competing interests.

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